

EXPRESS MAIL CERTIFICATE



OIPEX 10/3/01

Label No. 8276771871

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100

I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail" Office to Addressee's separate

Name (Print)

Signature

Customer No.:



07278

PATENT TRADEMARK OFFICE

Docket No.: 3153/1F534US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Stephen R. QUAKE; Hou-Pu CHOU

Serial No.: 09/826,373

Art Unit: 1743

Confirmation No.: 2878

Filed: April 4, 2001

Examiner: Not yet assigned

For: METHODS AND SYSTEMS FOR MOLECULAR FINGERPRINTING

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
COMPLETION OF PATENT APPLICATION**

The following items are submitted herewith in response to the Notice to File Missing Parts of Non-provisional Application (hereinafter referred to as the "Notice") mailed on July 6, 2001 for the above-identified application:

1. [X] Declaration and power of attorney, executed by Stephen R. QUAKE and Hou-Pu CHOU
2. Payment in the amount of \$ 832, (\$ 727 filing; \$ 40 recording; \$ 65 late)

in the form of:

☒ check

☐ deposit account no. 04-0100

☐ credit card (see attached form)

(See attached **Fee Computation Sheet**);

3. ☒ Assignment for recording to: California Institute of Technology;
4. ☒ Information Disclosure Statement; including Form PTO 1449 and 36 references;
5. ☒ Applicant claims small entity status  
(**PARTIAL REFUND of all fees paid within last 2 months is REQUESTED**);
6. ☒ A return copy of the Notice; and
7. ☒ A Petition for Extension of Time, accompanied by the appropriate Extension fees and requesting an extension for a period of one month (for September 6, 2001 up to and including October 6, 2001) for responding to the Notice.

The Patent Office is authorized to charge any deficiency up to \$300.00 in the above fees, and to credit any excess, to our Deposit Account No. 4-0100.

Respectfully submitted,

Dated: October 3, 2001

\_\_\_\_\_  
Samuel S. Woodley, Ph.D.  
Reg. No. 43,287  
Agent for Applicant(s)

DARBY & DARBY P.C.  
805 Third Avenue  
New York, NY 10022  
212-527-7700

Serial No. 09/826,373

Docket No. 3153/1F534US1



Docket No.: 3153/1F534US1

PATENT & TRADEMARK OFFICE COMPUTATION SHEET

	No. of Claims Presented	Extra Claims Previously Paid For	Number of Extra Claims	Rate
Basic Fee . . . . .				\$740.00
Total Claims	55 - 20	- 0 = 35	x \$18.00	\$630.00
Independent Claims	4 - 3	- 0 = 1	x \$84.00	\$84.00
Multiple Dependent Claims		- if so, add	\$280.00	\$0.00
Surcharge for late submission of filing fee and/or declaration (\$130.00) . . . . .				\$130.00
SUBTOTAL . . . . .				\$1584.00
[X] Small Entity REDUCTION (Half of Subtotal) . . . . .				\$792.00
Fee for recordation of assignment (\$40.00) . . . . .				\$40.00
Charge for filing non-English language application (\$130.00)				\$0.00
TOTAL . . . . .				\$832.00



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/826,373	04/04/2001	Stephen R. Quake	3153/1F534US1

CONFIRMATION NO. 2878

07278  
DARBY & DARBY  
805 THIRD AVENUE, 27TH FLR.  
NEW YORK, NY 10022

## FORMALITIES LETTER



\*0000000006268201\*

Date Mailed: 07/06/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/03/2001 BARRAHAM1 00000116 00826373

FILED UNDER 37 CFR 1.53(b)

1 FC:201 370.00 DP  
02 FC:202 315.00 DP  
03 FC:202 40.00 DP  
04 FC:205 65.00 DP

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$355.
  - \$315 for 35 total claims over 20.
  - \$40 for 1 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 775.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE